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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

<i>Attorney Docket No.</i>		0652.2310001/EKS/SEZ
<i>First Inventor</i>		Michael Gmachl
<i>Title</i>	<i>Methods for Identifying Inhibitors of the Anaphase Promoting Complex</i>	
<i>Express Mail Label No.</i>		

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>32</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>10</u>]	ACCOMPANYING APPLICATION PARTS	
5. Oath or Declaration [Total Pages _____] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Two Return Receipt Postcards (MPEP 503) (Should be specifically itemized) 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(v). Applicant must attach	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.		

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)

11. English Translation Document *(if applicable)*

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Two Return Receipt Postcards (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: Authorization under 37 C.F.R. § 1.136(a)(3)
 Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-Part (CIP) of prior application No: _____ / _____
Prior application information: *Examiner* *Group/Art Unit:* _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or *Correspondence address below*

Customer No. 26111

(Insert Customer No. or Attach bar code label here)

NAME **STERNE, KESSLER, GOLDSTEIN & FOX, P.L.L.C.** **(Insert Customer No.)**

Attenuation at 1 mm

Attorneys at Law					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

NAME (Print/Type)	Eric K. Steffe	Helene C. Carlson	Registration No. (Attorney/Agent)	36,688
SIGNATURE	<u>Helene C. Carlson</u>		Reg. No. 47,473	Date June 29, 2001

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$836.00)

Complete if Known	
Application Number	To Be Assigned
Filing Date	June 29, 2001
First Named Inventor	Michael Gmachl
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	0652.2310001/EKS/SEZ

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number **19-0036**
Deposit Account Name **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION (continued)

3. ADDITIONAL FEES
Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify):					
Other fee (specify):					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)-0-

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eric K. Steffe	Retene C. Carlson	Registration No. (Attorney/Agent)	36,688	Telephone	202-371-2600
Signature	Retene C. Carlson Reg. No. 47,473			Date	June 29, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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06/29/01

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*LIMITED TO MATTERS
 AND PROCEEDINGS BEFORE
 FEDERAL COURTS & AGENCIES
 **REGISTERED PATENT AGENT
 ***SENIOR COUNSEL

June 29, 2001

WRITER'S DIRECT NUMBER:

(202) 371-2625

INTERNET ADDRESS:

ESTEFFE@SKGF.COM

Commissioner for Patents
 Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
 Appl. No. To Be Assigned; Filed: Herewith
 For: **Methods for Identifying Inhibitors of the Anaphase Promoting Complex**
 Inventors: Gmachl *et al.*
 Our Ref: 0652.2310001/EKS/SEZ

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. USPTO Utility Patent Application Transmittal Form PTO/SB/05;
2. U.S. Utility Patent Application entitled:

Methods for Identifying Inhibitors of the Anaphase Promoting Complex

and naming as inventors:

**Michael Gmachl
 Jan-Michael Peters
 Christian Gieffers**

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Commissioner for Patents
June 29, 2001
Page 2

the application comprising:

- a. specification containing:
 - i. 28 pages of description prior to the claims;
 - ii. 3 pages of claims (27 claims);
 - iii. a one (1) page abstract;
- b. 10 sheets of drawings: (Figures 1A-1C, 2A, 2B, 2C, 3A, 3B, 4A, 4B, 4C, 5A, 5B, 6, 7); and
- c. an Application Data Sheet (37 C.F.R. § 1.76).

3. 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply As Incorporating An Extension of Time;
4. Fee Transmittal Form PTO/SB/17;
5. Claim to Priority Under 37 C.F.R. §119(a)-(d) In Utility Application;
6. A Certified Copy of the Priority Document as listed on Claim to Priority (EP00113832.0);
7. Our check no. 31765 for \$836.00 to cover:

\$710.00 filing fee;
\$126.00 Excess claims; and
8. Two (2) return postcards.

Correspondence should be sent to Customer Number 26111.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

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Commissioner for Patents
June 29, 2001
Page 3

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Ullman, Lasker
for Eric K. Steffe
Attorney for Applicants
Registration No. 36,688 Reg. No. 547,473

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SKGF Rec. 2/13/01 mac

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc978 U.S. PRO
09/093443
06/29/01



In re application of:
Gmachl *et al.*
Appl. No. To Be Assigned
Filed: June 29, 2001
For: **Methods for Identifying Inhibitors
of the Anaphase Promoting
Complex**

Confirmation No. N/A
Art Unit: To Be Assigned
Examiner: To Be Assigned
Atty. Docket: 0652.2310001/EKS/SEZ

**Authorization To Treat A Reply As Incorporating An Extension Of
Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe
for Eric K. Steffe Reg. No. 47,473
Attorney for Applicants
Registration No. 36,688

Date: June 29, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc978 U.S. PTO
06/29/01
06/29/01
06/29/01

In re application of:
Gmachl *et al.*
Appl. No. To Be Assigned
Filed: June 29, 2001
For: **Methods for Identifying Inhibitors
of the Anaphase Promoting
Complex**

Confirmation No. N/A
Art Unit: To Be Assigned
Examiner: To Be Assigned
Atty. Docket: 0652.2310001/EKS/SEZ

**Claim For Priority Under 35 U.S.C. § 119(a)-(d) In Utility
Application**

Commissioner for Patents
Washington, D.C. 20231

Sir:

Priority under 35 U.S.C. § 119(a)-(d) is hereby claimed to the following priority document(s), filed in a foreign country within twelve (12) months prior to the filing of the above-referenced United States utility patent application:

Country	Priority Document Appl. No.	Filing Date
Europe	EP00113832.0	June 29, 2000

A certified copy of each listed priority document is submitted herewith. Prompt acknowledgment of this claim and submission is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Ulfenwallson
for Eric K. Steffe
Reg. No. 47,473
Attorney for Applicants
Registration No. 36,688

Date: June 29, 2001

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